



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/964,865	09/28/2001	Patrik Eriksson	2380-348

CONFIRMATION NO. 2363

FORMALITIES LETTER



NIXON & VANDERHYE P.C.  
8th Floor  
1100 North Glebe Road  
Arlington, VA 22201-4714

Date Mailed: 10/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$592.
  - \$432 for 24 total claims over 20.
  - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1432.**

11/27/2001 SFELEKE1 00000031 09964865

01 FC:101  
02 FC:102  
03 FC:103  
04 FC:105

740.00  
168.00 OP  
432.00 OP  
130.00 OP  
*Copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

ERIKSSON et al.

Serial No. 09/964,865

Filed: September 28, 2001

For: METHOD AND APPARATUS FOR DISTORTIONLESS PEAK REDUCTION

Attention: Application Branch

Atty. Dkt. 2380-348

Date: November 26, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231The attached completes filing of the above-identified patent application:

- ☒ Signed Rule 63 Declaration alone, ☐ Copy of Declaration from prior application alone, OR  
☐ Signed Declaration plus attached copy of originally filed specification/drawings.  
☒ NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED form.  
☒ Record the attached assignment and return to the undersigned.  
☒ Attached is a Power of Attorney.  
☐ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:
- | Application Number | Country | Day/Month/Year Filed |
|--------------------|---------|----------------------|
|--------------------|---------|----------------------|

respectively.

- ☐ Certified copy(ies) of foreign application(s) is/are attached.  
☐ Certified copy(ies) filed on \_\_\_\_\_ in prior application no. \_\_\_\_\_, filed \_\_\_\_\_.  
☐ Applicant claims "small entity" status. ☐ "Small entity" statement attached.  
☐ Please enter the attached and/or below preliminary amendment prior to calculation of filing fee.  
☐ Also attached: ☐ Information Disclosure Statement; ☐ Nucleotide and/or Amino Acid Sequence Submission; ☐ Other:

**Fees due are calculated below:**

Basic filing fee					\$	740.00
Total Effective claims	44	- 20 =	24	x \$	18.00	\$ 432.00
Independent claims	5	- 3 =	2	x \$	84.00	\$ 168.00
If any proper multiple dependent claims now added for first time, add \$280.00 (ignore improper)						\$ 0.00
<b>FILING FEE</b>						\$ 1,340.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$400.00/2 months; \$920.00/3 months; \$1440.00/4 months)						\$ 0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted						\$ 130.00
English translation of specification and claims (\$130.00)						\$ 0.00
<b>FIRST SUBTOTAL</b>						\$ 1,470.00
If "small entity," enter half (½) of subtotal and subtract						-\$ 0.00
<b>SECOND SUBTOTAL</b>						\$ 1,470.00
Assignment Recording Fee (\$40.00)						\$ 40.00
<b>TOTAL FEE DUE</b>						\$ 1,510.00
Check enclosed (Pre-Bill)*						\$ 1,510.00
Check enclosed (non Pre-Bill)*						\$
<b>TOTAL FEE ENCLOSED</b>						\$ 1510.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8<sup>th</sup> Floor  
Arlington, Virginia 22201-4714  
Telephone: (703) 816-4000  
Facsimile: (703) 816-4100  
JRL:mm

NIXON &amp; VANDERHYTE P.C.

By Atty: John R. Lastova, Reg. No. 33,149

Signature: \_\_\_\_\_



#3

RULE 63 (37 C.F.R. 1.63)  
INVENTORS DECLARATION FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## METHOD AND APPARATUS FOR DISTORTIONLESS PEAK REDUCTION

the specification of which (check applicable box(es)):

- ☐ is attached hereto  
☒ was filed on September 28, 2001 as U.S. Application Serial No. \_\_\_\_\_ (Atty Dkt. No. 2380-348)  
☐ was filed as PCT International application No. \_\_\_\_\_ on \_\_\_\_\_  
and (if applicable to U.S. or PCT application) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

Priority Foreign Application(s):

Application Number

Country

Day/Month/Year Filed

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

Application Number

Date/Month/Year Filed

I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below:

Prior U.S./PCT Application(s):

Application Serial No.

Day/Month/Year Filed

Status: patented  
pending, abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby appoint **NIXON & VANDERHYE P.C., 1100 North Glebe Rd., 8<sup>th</sup> Floor, Arlington, VA 22201-4714, telephone number (703) 816-4000 (to whom all communications are to be directed)**, and the following attorneys thereof (of the same address) individually and collectively owner's/owners' attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent: Larry S. Nixon, 25640; Arthur R. Crawford, 25327; James T. Hosmer, 30184; Robert W. Faris, 31352; Richard G. Besha, 22770; Mark E. Nusbaum, 32348; Michael J. Keenan, 32106; Bryan H. Davidson, 30251; Stanley C. Spooner, 27393; Leonard C. Mitchard, 29009; Duane M. Byers, 33363; Jeffry H. Nelson, 30481; John R. Lastova, 33149; H. Warren Burnam, Jr. 29366; Mary J. Wilson, 32955; J. Scott Davidson, 33489; Alan M. Kagen, 36178; Robert A. Molan, 29834; B. J. Sadoff, 36663; James D. Berquist, 34776; Updeep S. Gill, 37334; Michael J. Shea, 34725; Donald L. Jackson, 41090; Michelle N. Lester, 32331; Frank P. Presta, 19828; Joseph S. Presta, 35329; Joseph A. Rhoa, 37515; Raymond Y. Mah, 41426; Chris Comuntzis, 31097; Gary T. Tanigawa, 43180. I also authorize Nixon & Vanderhye to delete any attorney names/numbers no longer with the firm and to act and rely solely on instructions directly communicated from the person, assignee, attorney, firm, or other organization sending instructions to Nixon & Vanderhye on behalf of the owner(s).

1. Inventor's Signature: *Patrik* *Eriksson* Date: 2001-10-15  
Inventor: Patrik ERIKSSON  
(first) MI (last) Sweden  
Residence: (city) Kolmården (state/country) Sweden  
Mailing Address: Gjutarvägen 33, Kolmården, Sweden  
(Zip Code) 618 30

2. Inventor's Signature: *Stellan* *Cärebo* Date: 2001-10-29  
Inventor: Stellan CÄREBO  
(first) MI (last) Sweden  
Residence: (city) Sundbyberg (state/country) Sweden  
Mailing Address: Starrbäcksgatan 6, 3tr, Sundbyberg, Sweden  
(Zip Code) 172 74

☒ See attached sheet(s) for additional inventor(s) information!!

3. Inventor's Signature:

Inventor:

Residence: (city)

Mailing Address:

(Zip Code)

Ari  
(first)

MI

GHAFOURI

(last)

Sweden

(citizenship)

Sollentuna

(state/country) Sweden

Drevkarsstigen 1 9tr, Sollentuna, Sweden

195 53

Date:

01/10/29

4. Inventor's Signature:

Inventor:

Residence: (city)

Mailing Address:

(Zip Code)

Thord  
(first)

MI

HYLLANDER

(last)

Sweden

(citizenship)

Solna

(state/country) Sweden

Stråkvägen 4B, Solna, Sweden

169 35

Date:

01/10/29

5. Inventor's Signature:

Inventor:

Residence: (city)

Mailing Address:

(Zip Code)

Peter  
(first)Magnus  
MI

PETERSSON

(last)

Sweden

(citizenship)

Järfälla

(state/country) Sweden

Ryttarvägen 9, Järfälla, Sweden

177 39

Date:

2001-10-24